

DOCKET NO.: 204809US6PCT

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

IN RE APPLICATION OF:

:

Seiji KOBAYASHI et al.

: GROUP ART UNIT: 2874

SERIAL NO.: 09/555,658

:

FILED: October 31, 2000

:

FOR: OPTICAL INFORMATION RECORDING MEDIUM, OPTICAL  
INFORMATION RECORDING APPARATUS AND METHOD

**REVOCATION AND NEW APPOINTMENT OF POWER OF ATTORNEY**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned representative of Sony Corporation, owner by virtue of assignment of the above-identified application, hereby revokes any and all previous Powers of Attorney and appoints Norman F. Oblon, Reg. No. 24,618; Marvin J. Spivak, Reg. No. 24,913; C. Irvin McClelland, Reg. No. 21,124; Gregory J. Maier, Reg. No. 25,599; Arthur I. Neustadt, Reg. No. 24,854; Richard D. Kelly, Reg. No. 27,757; James D. Hamilton, Reg. No. 28,421; Eckhard H. Kuesters, Reg. No. 28,870; Robert T. Pous, Reg. No. 29,099; Charles L. Gholz, Reg. No. 26,395; William E. Beaumont, Reg. No. 30,996; Jean-Paul Lavalleye, Reg. No. 31,451; Stephen G. Baxter, Reg. No. 32,884; Robert W. Hahl, Reg. No. 33,893; Richard L. Treanor, Reg. No. 36,379; Steven P. Weihrouch, Reg. No. 32,829; John T. Goolkasian, Reg. No. 26,142; Richard L. Chinn, Reg. No. 34,305; Steven E. Lipman, Reg. No. 30,011; Carl E. Schlier, Reg. No. 34,426; James J. Kulbaski, Reg. No. 34,648; Richard A. Neifeld, Reg. No. 35,299; J. Derek Mason, Reg. No. 35,270; Surinder Sachar, Reg. No. 34,423; Jeffrey B. McIntyre, Reg. No. 36,867; William T. Enos, Reg. No. 33,128; Michael E. McCabe, Jr., Reg.

No. 37,182; Bradley D. Lytle, Reg. No. 40,073 and Michael R. Casey, Reg. No. 40,294, as Assignee's attorney with full power of substitution and revocation, to prosecute said patent application, receive any Letters Patent and to take any and all other actions with regard to this patent application and any Letters Patent issuing thereon, and request that all correspondence be sent to the firm of OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C. whose Post Office Address is: Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202.

CERTIFICATION UNDER 37 C.F.R. 3.73(b)

I, the undersigned, certify that I am an individual empowered to act on behalf of Sony Corporation, the assignee of the entire right, title and interest of the above-identified application by virtue of an assignment from the inventor(s), said Assignment having been recorded in the U.S. Patent and Trademark Office at reel no. 11227, frame 0498.

Sony Corporation

Date: April 16, 2001

By: Yujiro Yamanaka  
Name: Yujiro Yamanaka  
Title: Manager  
Legal & Intellectual Property Dept.

(OSMMN 2000)

Docket No. 251188US6CONT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Seiji KOBAYASHI, et al.

SERIAL NO: New Application

FILED: HERewith

FOR: OPTICAL INFORMATION RECORDING MEDIUM, OPTICAL INFORMATION RECORDING APPARATUS AND METHOD

**CONFIRMATION OF ATTORNEY AND  
CORRESPONDENCE ADDRESS**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

The undersigned hereby confirms the attorneys of record for the above-identified application as those appearing in the Revocation and New Appointment of Power of Attorney filed in parent application Serial Number 09/555,658, and accordingly requests the appropriate attorneys of record be noted, and the correct correspondence address be entered for this application as follows:

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.  
1940 Duke Street  
Alexandria, Virginia 22314

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Bradley D. Lytle

Registration No. 40,073

Customer Number

**22850**

Tel. (703) 413-3000

Fax. (703) 413-2220

(OSMMN 05/03)

I:\ATTY\NJK\PROSECUTION\251188US\CONF.DOC

## Declaration and Power of Attorney For Patent Application

## 特許出願宣言書及び委任状

## Japanese Language Declaration

## 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。	As a below named inventor, I hereby declare that:
私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。	My residence, post office address and citizenship are as stated next to my name.
<p>下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。</p> <p>_____</p> <p>_____</p>	<p>I believe I am the original, first and sole inventor (if only one named is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.</p> <p>OPTICAL INFORMATION RECORDING MEDIUM, OPTICAL INFORMATION RECORDING APPARATUS AND METHOD</p>
<p>上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、</p> <p><input type="checkbox"/> 月 日に提出され、米国出願番号または特許協定条約国際出願番号を _____ とし、 （該当する場合） _____ に訂正されました。</p>	<p>the specification of which is attached hereto unless the following box is checked:</p> <p><input checked="" type="checkbox"/> was filed on <u>April 28, 1999</u> as United States Application Number or PCT International Application Number <u>PCT/JP99/02294</u> and was amended on _____ (if applicable).</p>
私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。	I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.
私は、連邦規則法典第37編第1条56項に定義されたとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。	I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.
私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づき国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。	I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.
<p>Prior Foreign Application(s) 外国での先行出願</p> <p><u>P10-281422</u> (Number) (番号)</p> <p><u>Japan</u> (Country) (国名)</p>	<p>Priority Not Claimed 優先権主張なし</p> <p><u>2 October 1998</u> (Day/Month/Year Filed) (出願年月日)</p>

# Japanese Language Declaration

日本語宣言書

<p>(Number) (Country) (Day/Month/Year Filed)</p> <p>(番号) (国名) (出願年月日)</p>	
<p>私は、第35編米国法典119条(e)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。</p> <p>(Application No.) (Filing Date) (Application No.) (Filing Date)</p> <p>(出願番号) (出願日) (出願番号) (出願日)</p>	
<p>私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。</p> <p>(Application No.) (Filing Date) (Status: Patented, Pending, Abandoned)</p> <p>(出願番号) (出願日) (現況：特許許可済、係属中、放棄済)</p> <p>(Application No.) (Filing Date) (Status: Patented, Pending, Abandoned)</p> <p>(出願番号) (出願日) (現況：特許許可済、係属中、放棄済)</p>	
<p>私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。</p> <p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may be jeopardize the validity of the application or any patent issued thereon.</p>	

# Japanese Language Declaration

日本語宣言書

委任状： 私は下記の発明者として、本出願に関する一切の  
手続きを米特許商標局に対して遂行する弁理士または代理人  
として、下記の者を指名いたします。（弁理士、または代理  
人の氏名及び登録番号を明記のこと）

POWER OF ATTORNEY: As a named inventor, I hereby  
appoint the following attorney(s) and/or agent(s) to prosecute  
this application and transact all business in the Patent and  
Trademark office connected therewith (*list name and  
registration number*)

Karl A. Limbach	18,689	Alfred A. Equitz	30,922	Mayumi Maeda	40,075
George C. Limbach	19,305	Charles P. Sammut	28,901	Michael R. Ward	38,651
John K. Uilkema	20,282	Mark C. Pickering	36,239	Roger S. Sampson	44,314
Neil A. Smith	25,441	Patricia Coleman James	37,155	Charles L. Hamilton	42,624
Veronica C. Devitt	29,375	Kathleen A. Frost	37,326	Andrew V. Smith	43,132
Ronald L. Yin	27,607	Alan A. Limbach	39,749	Eric N. Hoover	37,355
Gerald T. Sekimura	30,103	Douglas C. Limbach	35,249	Frank J. Mycroft	P-46,946
Michael A. Stallman	29,444	Seong-Kun Oh*		Parisa Jorjani	P-46,813
Philip A. Girard	28,848	Cameron A. King	41,897	Robert M. McConnell	P-46,912
Michael J. Pollock	29,098	Kyla L. Harriel	41,815	J. Thomas McCarthy	22,420
Steven M. Everett	30,050			Joel G. Ackerman	24,307
				Susan M. Schmitt	34,427

\* Recognition under 37 CFR 10.9(b)

書類送付先

Send Correspondence to:

**Charles P. Sammut, Esq.**  
**Limbach & Limbach L.L.P.**  
**2001 Ferry Building**  
**San Francisco, CA 94111-4262**

直接電話連絡先：（名前及び電話番号）

Direct Telephone Calls to: (*name and telephone  
number*)

**Charles P. Sammut**  
**(415) 433-4150**

唯一または第一発明者名

Full name of sole or first inventor:

SEIJI KOBAYASHI

発明者の署名

日付

Inventor's signature

Date

*Seiji Kobayashi*

*Sep 27, 2000*

住所

Residence

Tokyo, Japan

国籍

Citizenship

Japan

私書箱

Post Office Address

c/o SONY CORPORATION  
7-35, Kitashinagawa 6-chome  
Shinagawa-ku, Tokyo, 141-0001 JAPAN

# Japanese Language Declaration

日本語宣言書

第二共同発明者	Full name of second joint inventor, if any TSUTOMU ISHIMOTO
第二共同発明者 日付	Second inventor's signature <i>Tsutomu Ishimoto</i> Date <i>Sep. 27, 2000</i>
住所	Residence Tokyo, Japan
国籍	Citizenship Japan
私書箱	Post Office Address c/o SONY CORPORATION 7-35, Kitashinagawa 6-chome Shinagawa-ku, Tokyo, 141-0001 JAPAN
第三共同発明者	Full name of third joint inventor, if any HISAYUKI YAMATSU
第三共同発明者 日付	Third inventor's signature <i>Hisayuki Yamatsu</i> Date <i>Sep 28, 00</i>
住所	Residence Tokyo, Japan
国籍	Citizenship Japan
私書箱	Post Office Address c/o SONY CORPORATION 7-35, Kitashinagawa 6-chome Shinagawa-ku, Tokyo, 141-0001 JAPAN
第四共同発明者	Full name of fourth joint inventor, if any RODERICK KOEHLE
第四共同発明者 日付	Fourth inventor's signature <i>Roderick Koehle</i> Date <i>Oct 10, 00</i>
住所	Residence Tokyo, Japan
国籍	Citizenship Japan
私書箱	Post Office Address c/o SONY CORPORATION 7-35, Kitashinagawa 6-chome Shinagawa-ku, Tokyo, 141-0001 JAPAN